

# Exercise 12.0 The Correction Factor $K_0$ for Instantons

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$$K_0 = \lim_{t \rightarrow \infty} \left[ \frac{\det(-\partial_t^2 + \omega^2)}{\det(-\partial_t^2 + \frac{1}{m} V''(\bar{x}(t)))} \right]^{1/2}$$

$\sigma \rightarrow \tau$   
 $q \rightarrow t$  Notation

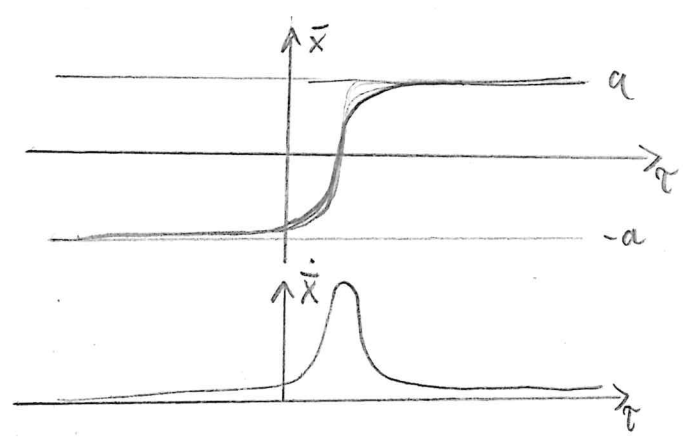
## a) The zero-mode Problem

$$m \ddot{\bar{x}} = V'(\bar{x}) \leadsto m \ddot{\bar{x}} = V''(\bar{x}) \bar{x} \leadsto (-\partial_t^2 + \frac{1}{m} V''(\bar{x})) \bar{x} = 0$$

$\bar{x}$  is eigenfunction of fluctuation operator with eigenvalue 0

because  $\dot{\bar{x}}(\pm t/2) \rightarrow 0$  for  $t \rightarrow \infty$  (so obeys the boundary conditions)

Remember:



has no zeros

$\dot{\bar{x}}$  has No zero's  
→ ground-state

Consider lowest eigenvalue for large  $t$ :

$$\lambda_0^{V''}(t) > 0 \text{ with } \lim_{t \rightarrow \infty} \lambda_0^{V''}(t) = 0 \text{ and } \varphi_0(t) \sim \dot{\bar{x}}(t)$$

zero-mode

However:  $\lambda_n^{\omega^2}(t) = \omega^2 + (\frac{\pi n}{t})^2 \rightarrow \omega^2 > 0$  for  $t \rightarrow \infty$

↪  $K_0$  as defined above does not exist!  $\nabla_0$

↪ Correct definition to be found!  $\nabla$

Problem is time-translation invariance:

With  $\bar{x}_{t_0}(\tau)$  also  $\bar{x}_{t_0+\epsilon}(\tau) = \bar{x}_{t_0}(\tau - \epsilon)$  is solution

$\Rightarrow \bar{x}_{t_0+\epsilon}(\tau) \approx \bar{x}_{t_0}(\tau) - \epsilon \dot{\bar{x}}_{t_0}(\tau) + O(\epsilon^2)$

$\uparrow$  fluctuation along the zero mode!

b) Resolving the zero-mode problem

Remember:  $x(\tau) - \bar{x}(\tau) = q(\tau) = \sum_{n=0}^{\infty} c_n \varphi_n(\tau)$

$\uparrow$  eigenmodes of fluctuation operator

for large  $t$ :  $\varphi_0(\tau) \approx N \dot{\bar{x}}_{t_0}(\tau)$

and because of  $E = \frac{m}{2} \dot{\bar{x}}^2 - V(\bar{x}) \approx 0$

$\approx \dot{\bar{x}}_{t_0}(\tau) = \sqrt{\frac{2V(\bar{x})}{m}}$

Hence  $\int_{-t/2}^{+t/2} d\tau \varphi_0^2(\tau) = N^2 \int_{-t/2}^{t/2} d\tau \dot{\bar{x}}_{t_0}^2(\tau) = N^2 \int_{-a}^{+a} dx \sqrt{\frac{2V(x)}{m}} = N^2 \frac{S_0}{m}$

Instanton action  
 $\downarrow$

$\approx N = \sqrt{\frac{m}{S_0}}$

Result:  $q(\tau) = c_0 \sqrt{\frac{m}{S_0}} \dot{\bar{x}}_{t_0}(\tau) + \sum_{n \neq 0} c_n \varphi_n(\tau)$

Consider fluctuation along zero-mode:

$$\delta q(\tau) = \delta c_0 \sqrt{\frac{m}{S_0}} \dot{X}_{t_1}(\tau) = \delta c_0 \sqrt{\frac{m}{S_0}} \dot{X}_0(\tau - t_1) = -\sqrt{\frac{m}{S_0}} \frac{dX_{t_1}}{dt_1} \delta c_0$$

$$\stackrel{\nabla}{=} \delta \dot{X}_{t_1}(\tau) = \frac{\partial \dot{X}_0(\tau)}{\partial t_1} \delta t_1$$

$$\Rightarrow \delta t_1 = -\sqrt{\frac{m}{S_0}} \delta c_0$$

fluctuation = time-translation

Remember:

$$\int \mathcal{D}q(\tau) \exp\left\{-\frac{m}{2k} \int_{-t_1}^{t_2} d\tau q(\tau) F q(\tau)\right\} = \mathcal{N} \left( \int \prod_{n=0}^{\infty} \frac{dc_n}{(2\pi k/m)^{1/2}} \right)$$

$$\times \exp\left\{-\frac{m}{2k} \sum_{n=0}^{\infty} \lambda_n c_n^2\right\}$$

$$= \mathcal{N} \int \frac{dc_0}{\sqrt{2\pi k/m}} \prod_{n \neq 0} \int \frac{dc_n}{\sqrt{2\pi k/m}} \exp\left\{-\frac{m}{2k} \sum_{n \neq 0} \lambda_n c_n^2\right\}$$

as  $\lambda_0 \rightarrow 0$  for  $t \rightarrow \infty$

$$\text{But } \int \frac{dc_0}{\sqrt{2\pi k/m}} = \int dt_1 \sqrt{\frac{S_0}{m}} \sqrt{\frac{m}{2\pi k}} = \int dt_1 \sqrt{\frac{S_0}{2\pi k}}$$

however the  $t_1$ -integration is already done in the instanton-counting!  $\nabla$

$$= \mathcal{N} \sqrt{\frac{S_0}{2\pi k}} \prod_{n \neq 0} \sqrt{\frac{1}{\lambda_n}}$$

Correct definition:

$$K_0 = \lim_{t \rightarrow \infty} \sqrt{\frac{S_0}{2\pi k}} \left[ \frac{\lambda_0^{1/2}(t) \det(-\partial_\tau^2 + W^2)}{\det(-\partial_\tau^2 + \frac{1}{m} V''(\bar{x}))} \right]^{1/2}$$

c) Calculation of  $K_0$

Coleman: 
$$\frac{\lambda_0(t) \det(-\partial_t^2 + \omega^2)}{\det(-\partial_t^2 + \frac{1}{m} V'(\bar{x}))} = \frac{\lambda_0(t) \frac{1}{\omega} \sinh \omega t}{f_0(t/2)}$$

where  $f_0(-\frac{t}{2}) = 0$ ,  $\dot{f}_0(-\frac{t}{2}) = 1$  and  
 $(-\partial_t^2 + \frac{1}{m} V'(\bar{x}(t))) f_0(t) = 0$

$\leadsto K_0 = \lim_{t \rightarrow \infty} \sqrt{\frac{S_0}{2\pi t}} \sqrt{\frac{\lambda_0(t) e^{\omega t}}{2\omega f_0(t/2)}}$

i) Calculation of  $f_0$ :

- zero mode  $\varphi_0(t) = \sqrt{\frac{m}{S_0}} \dot{\bar{x}}_0(t) = \varphi_0(-t)$  is solution symmetric  
 but with wrong initial conditions

as  $\dot{\bar{x}}_0(t) \sim e^{-\omega|t|}$  for  $|t| \rightarrow \infty$  (Example  $\bar{x}(t) = a \tanh \frac{\omega t}{2}$ )

Hence:  $\varphi_0(t) \approx a_0 e^{-\omega|t|}$  with  $a_0 := \lim_{|t| \rightarrow \infty} e^{\omega|t|} \varphi_0(t)$

- linear independent solution:

$$\psi_0(t) := \varphi_0(t) \int_0^t \frac{1}{\varphi_0^2(\tau)} = -\psi_0(-t)$$
 antisymmetric

$$\leadsto \dot{\psi}_0 = \dot{\varphi}_0 \int_0^t \frac{d\tau}{\varphi_0^2(\tau)} + \varphi_0(t) \frac{1}{\varphi_0^2(t)}$$

multiply with  $\varphi_0$ :  $\varphi_0 \dot{\psi}_0 = \dot{\varphi}_0 \varphi_0 + 1$

or  $\varphi_0 \dot{\psi}_0 - \dot{\varphi}_0 \varphi_0 = 1$  Wronski determinant

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applying  $\partial_\tau$ :  $\dot{\varphi}_0 \dot{\psi}_0 + \varphi_0 \ddot{\psi}_0 - \dot{\psi}_0 \dot{\varphi}_0 - \dot{\varphi}_0 \dot{\psi}_0 = 0$  and  $\ddot{\varphi}_0 = \sqrt{\frac{m}{s_0}} \ddot{x}(\tau)$

with  $\ddot{x} = \frac{1}{m} V''(\bar{x}) \dot{x} \sim \dot{\varphi}_0 = \frac{1}{m} V''(\bar{x}) \varphi_0$

$\leadsto \varphi_0 \left( -\partial_\tau + \frac{1}{m} V''(\bar{x}) \right) \psi_0(\tau) = 0$   ~~$\neq 0$~~

with  $\psi_0(t) \xrightarrow{t \rightarrow \infty} a_0 e^{-\omega t} \int_0^t \frac{d\tau}{a_0^2} e^{-\gamma_0 \tau} \sim \frac{e^{\omega t}}{2a_0 \omega}$

Hence:  $\psi_0(t) \approx \pm \frac{e^{\omega|t|}}{2a_0 \omega}$  for  $t \rightarrow \pm \infty$

Lemma:  $f_0(\tau) = \varphi_0(-\frac{\tau}{2}) \psi_0(\tau) - \psi_0(-\frac{\tau}{2}) \varphi_0(\tau)$

Proof:  $f_0(-t_2) = 0$   $\checkmark$

$\dot{f}_0(-t_2) = \varphi_0(-\frac{t_2}{2}) \dot{\psi}_0(-\frac{t_2}{2}) - \psi_0(-\frac{t_2}{2}) \dot{\varphi}_0(-\frac{t_2}{2}) = 1$  Wronski det.

Furthermore:  $f_0(t_2) \approx a_0 e^{-\frac{\omega t}{2}} \frac{e^{\frac{\omega t}{2}}}{2a_0 \omega} \cdot 2 = \frac{1}{\omega}$

$$\lim_{t \rightarrow \infty} f_0(t_2) = \frac{1}{\omega}$$

ii) Calculate  $\lambda_0(\tau)$

Consider  $(-\partial_\tau^2 + \frac{1}{m} V''(\bar{x})) f_\lambda(\tau) = \lambda f_\lambda(\tau)$

$\lambda = \lambda(t)$  Eigenvalue  $\Leftrightarrow f_\lambda(t/2) = 0$

with  $f_\lambda(-t_2) = 0$  and  $\dot{f}_\lambda(-t_2) = 1$

Let  $g(\tau) := \frac{\partial}{\partial \lambda} f(\tau) \Big|_{\lambda=0}$

obviously  $g(-t/2) = 0 = \dot{g}(-t/2)$

and  $(-\partial_\tau^2 + \frac{1}{m} V''(\bar{x})) g(\tau) = f_0(\tau) \quad (*)$

Solution:  $g(\tau) = \int_{-t/2}^{\tau} d\tau' [\psi_0(\tau') \dot{\varphi}_0(\tau) - \dot{\psi}_0(\tau) \varphi_0(\tau')] f_0(\tau')$

$\dot{g}(\tau) = \dot{\varphi}_0(\tau) \int_{-t/2}^{\tau} d\tau' \psi_0(\tau') f_0(\tau') + \psi_0(\tau) \varphi_0(\tau) f_0(\tau) - \dot{\psi}_0(\tau) \int_{-t/2}^{\tau} d\tau' \varphi_0(\tau') f_0(\tau') - \psi_0(\tau) \dot{\varphi}_0(\tau) f_0(\tau)$

$\ddot{g}(\tau) = \ddot{\varphi}_0(\tau) \int_{-t/2}^{\tau} d\tau' \psi_0(\tau') f_0(\tau') + \dot{\varphi}_0 \psi_0 f_0 - \ddot{\psi}_0 \int_{-t/2}^{\tau} d\tau' \varphi_0(\tau') f_0(\tau') - \dot{\psi}_0 \varphi_0 f_0$

$\rightarrow \frac{1}{m} V''(\bar{x}) \varphi_0 / \psi_0 \quad f_0 (\dot{\varphi}_0 \psi_0 - \dot{\psi}_0 \varphi_0) = f_0$

$= -f_0 + \frac{1}{m} V''(\bar{x}) \int_{-t/2}^{\tau} d\tau' [\psi_0(\tau') \dot{\varphi}_0(\tau) f_0(\tau') - \dot{\psi}_0(\tau) \varphi_0(\tau') f_0(\tau')]$

$= -f_0 + \frac{1}{m} V''(\bar{x}) g(\tau)$

$\hookrightarrow (-\partial_\tau^2 + \frac{1}{m} V''(\bar{x})) g(\tau) = f_0(\tau)$

Approximation for  $\lambda \ll 1$ :

$f_\lambda(\tau) \approx f_0(\tau) + \lambda g(\tau)$

$$f_n(t/2) \approx f_0(t/2) + \lambda \int_{-t/2}^{+t/2} d\tau [\psi_0(\tau) \varphi_0(t/2) - \psi_0(t/2) \varphi_0(\tau)] f_0(\tau)$$

↙ Lemma

$$= f_0(t/2) + \lambda \int_{-t/2}^{t/2} d\tau \left[ \overset{\text{sym.}}{\psi_0^2(\tau)} \varphi_0^2(t/2) - \overset{\text{anti-sym.}}{\psi_0^2(t/2)} \varphi_0^2(\tau) \right]$$

large t behaviour:

$$f_0(t/2) \rightarrow \frac{1}{\omega}$$

$$\varphi_0^2(t/2) \int_{-t/2}^{t/2} d\tau \psi_0^2(\tau) \sim a_0^2 e^{-\omega t} 2 \int_0^{t/2} d\tau \psi_0^2(\tau) \sim 2a_0^2 = O(1)$$

$\sim e^{2\omega\tau}$

$$\psi_0^2(t/2) \int_{-t/2}^{t/2} d\tau \varphi_0^2(\tau) \sim \frac{1}{4a_0^2 \omega^2} e^{\omega t}$$

$\underbrace{\hspace{10em}}_{=1} \text{ Normalised}$

$$\leadsto f_n(t/2) \approx \frac{1}{\omega} + \lambda (O(1) - \frac{1}{4a_0^2 \omega^2} e^{\omega t}) \stackrel{!}{=} 0 \quad \text{Eigenvalue Condition!}$$

$$\leadsto \frac{1}{\omega} - \lambda \frac{e^{\omega t}}{4a_0^2 \omega^2} = 0 \quad \leadsto \lambda_0(t) \approx 4a_0^2 \omega e^{-\omega t} \quad \text{for } t \rightarrow \infty$$

Remember:  $K_0 = \lim_{t \rightarrow \infty} \sqrt{\frac{S_0}{2\pi k}} \sqrt{\frac{\lambda_0(t) e^{\omega t}}{2b\omega f_0(t/2)}}$

$$K_0 = \sqrt{\frac{S_0}{2\pi k}} \sqrt{2a_0^2 \omega} = a_0 \sqrt{\frac{S_0 \omega}{\pi k}} = \sqrt{\frac{m \omega}{b k}} \lim_{t \rightarrow \infty} e^{\omega t} \dot{x}_0(t)$$

$$S_0 = \int_{-g}^{+g} dx \sqrt{2mV(x)}, \quad a_0 = \lim_{t \rightarrow \infty} e^{\omega t} \varphi_0(t) = \sqrt{\frac{m}{S_0}} \lim_{t \rightarrow \infty} e^{\omega t} \dot{x}_0(t)$$

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$$\Delta E = E_n - E_0 = 2\hbar K_0 e^{-S_0/\hbar} = 2 \sqrt{\frac{m\hbar\omega}{\pi}} e^{-S_0/\hbar} \lim_{t \rightarrow \infty} e^{\omega t} \bar{x}(t)$$

$$= 2a_0 \sqrt{\frac{S_0\hbar\omega}{\pi}} e^{-S_0/\hbar}$$

Example:  $V(x) = \frac{m\omega^2}{8a^2} (x^2 - a^2)^2$

$$\leadsto S_0 = \frac{2}{3} m\omega a^2, \quad \bar{x}_0(t) = a \tanh \frac{\omega t}{2}, \quad \dot{\bar{x}}_0(t) = \frac{a\omega}{2} \frac{1}{\cosh^2 \frac{\omega t}{2}}$$

$$\ddot{\bar{x}}_0(t) \sim 2a\omega e^{-\omega t} \leadsto a_0 = 2a\omega$$