

Exercise 12: The Aharonov-Casher Theorem

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We consider ground state of Pauli-Hamiltonian in 2D with orthogonal magnetic field

$$\vec{B}(\vec{x}) = B(\vec{x}) \vec{e}_3, \quad \vec{x} = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \text{ with bounded support}$$

Magnetic Scalar Field:

$$\varphi(\vec{x}) := \int_{\mathbb{R}^2} d^2\vec{x}' B(\vec{x}') \ln|\vec{x} - \vec{x}'| \quad \text{has dimension of a magn. flux}$$

with $\Delta \ln|\vec{x} - \vec{x}'| = 2\pi \delta(\vec{x} - \vec{x}')$ Recall $\ln|\vec{x} - \vec{x}'|$ is Green function in 2D

$$\Rightarrow \underline{\underline{\Delta \varphi(\vec{x}) = 2\pi B(\vec{x})}}$$

Vector Potential:

$$\vec{A}(\vec{x}) := \begin{pmatrix} a_1(\vec{x}) \\ a_2(\vec{x}) \end{pmatrix} := \frac{1}{2\pi} \begin{pmatrix} -\partial_2 \varphi(\vec{x}) \\ \partial_1 \varphi(\vec{x}) \end{pmatrix}$$

$$\Rightarrow \partial_1 a_2 - \partial_2 a_1 = (\partial_1^2 + \partial_2^2) \frac{1}{2\pi} \varphi(\vec{x}) = B(\vec{x}) \quad \#$$

From Lecture:

$$g = \pm 2 \quad \phi_0 := 2\pi \frac{\hbar c}{|e|}$$

$$A = \frac{1}{\sqrt{2m}} \left[(P_1 - \frac{e}{c} a_1) \mp i (P_2 - \frac{e}{c} a_2) \right]$$

$$\stackrel{(*)}{=} \frac{\hbar}{\sqrt{2m}} e^{\pm \frac{\varphi}{\phi_0} \text{sgn} e} \left[\mp \partial_2 - i \partial_1 \right] e^{\mp \frac{\varphi}{\phi_0} \text{sgn} e}$$

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Proof of $\textcircled{*}$:

$$\begin{aligned}
A &\stackrel{?}{=} \frac{\hbar}{\sqrt{2m}} e^{+\frac{\varphi}{\phi_0} \text{sgn} e} (\mp \partial_2 - i \partial_1) e^{-\frac{\varphi}{\phi_0} \text{sgn} e} \\
&= \frac{\hbar}{\sqrt{2m}} e^{+\frac{\varphi}{\phi_0} \text{sgn} e} e^{-\frac{\varphi}{\phi_0} \text{sgn} e} \left[+ \frac{\text{sgn} e}{\phi_0} (d_2 \varphi) \mp \partial_2 \pm i \frac{\text{sgn} e}{\phi_0} (d_1 \varphi) - i \partial_1 \right] \\
&= \frac{\hbar}{\sqrt{2m}} \left[\frac{e}{2\pi \hbar c} (-2\pi a_1) \mp \partial_2 \pm \frac{e}{2\pi \hbar c} i (2\pi a_2) - i \partial_1 \right] \\
&= \frac{1}{\sqrt{2m}} \left[-\frac{e}{c} a_1 \mp \hbar \partial_2 \pm i \frac{e}{c} a_2 - i \hbar \partial_1 \right] \\
&= \frac{1}{\sqrt{2m}} \left[(P_1 - \frac{e}{c} a_1) \mp i (P_2 - \frac{e}{c} a_2) \right] \quad \#
\end{aligned}$$

• Consider $\vec{x} \cdot \vec{x}' = |\vec{x}| |\vec{x}'| \cos \theta$

$$\frac{1}{|\vec{x} - \vec{x}'|} = \sum_{l=0}^{\infty} \frac{|\vec{x}'|^l}{|\vec{x}|^{l+1}} P_l(\cos \theta) = \frac{1}{|\vec{x}|} \left(1 + O\left(\frac{1}{|\vec{x}|}\right) \right)$$

$$\begin{aligned}
\leadsto \ln |\vec{x} - \vec{x}'| &= -\ln \frac{1}{|\vec{x} - \vec{x}'|} = -\ln \frac{1}{|\vec{x}|} - \ln \left(1 + O\left(\frac{1}{|\vec{x}|}\right) \right) \\
&= \ln |\vec{x}| \left(1 + O\left(\frac{1}{|\vec{x}|}\right) \right)
\end{aligned}$$

$$\Rightarrow \varphi(\vec{x}) = F \ln |\vec{x}| \left(1 + O\left(\frac{1}{|\vec{x}|}\right) \right)$$

with $F := \int_{\mathbb{R}^2} d\vec{x}' B(\vec{x}') \text{ flux } F \ll \infty$

• Remember: SUSY of 2D Pauli-Hamiltonian

$$H = \begin{pmatrix} AA^\dagger & 0 \\ 0 & A^\dagger A \end{pmatrix} = \begin{pmatrix} H_+ & 0 \\ 0 & H_- \end{pmatrix} \quad \begin{array}{l} \text{spin-up} \\ \text{spin-down} \end{array}$$

Proof of Aharonov and Casher

only $g=+2$ (uppersign)

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Assume SUSY unbroken \Rightarrow

$$\begin{aligned} iA\psi_0^- &= 0 \\ iA^\dagger\psi_0^+ &= 0 \end{aligned} \quad \text{with } \psi_0^\pm \in \mathcal{H}^\pm \quad \begin{array}{l} \text{Spin-up} \\ \text{Spin-down} \end{array}$$

$$\begin{aligned} \Rightarrow (\partial_1 + i\partial_2) e^{-\frac{\varphi}{\phi_0} \text{sqnc}} \psi_0^- &= 0 \\ (\partial_1 - i\partial_2) e^{+\frac{\varphi}{\phi_0} \text{sqnc}} \psi_0^+ &= 0 \end{aligned}$$

$$\text{let } z := x_1 - ix_2$$

$$\partial_{z^*} = \partial_1 + i\partial_2$$

$$\partial_z = \partial_1 - i\partial_2$$

$$\begin{aligned} \Rightarrow f_-(z^*) &:= e^{-\frac{\varphi}{\phi_0} \text{sqnc}} \psi_0^- && \text{analytic in } z^* \\ f_+(z) &:= e^{+\frac{\varphi}{\phi_0} \text{sqnc}} \psi_0^+ && \text{analytic in } z \end{aligned}$$

Now let $F > 0$ and $e < 0$ (electrons)

$$1) \psi_0^+(\vec{x}) = e^{\frac{\varphi}{\phi_0}} f_+(z) = f_+(z) |z|^{\frac{F}{\phi_0}} (1 + o(\frac{1}{|z|})) \stackrel{?}{\in} L^2(\mathbb{R}^2)$$

$$\leadsto f_+(z) \rightarrow 0 \text{ for } |z| \rightarrow \infty \text{ (in all directions)}$$

$$\text{and analytic (power series in } z) \Rightarrow f_+(z) \equiv 0$$

\Rightarrow No spin-up states with $E_0 = 0$

$$2) \psi_0^-(\vec{x}) = e^{-\frac{\varphi}{\phi_0}} f_-(z^*) = f_-(z^*) |z^*|^{-\frac{F}{\phi_0}} (1 + o(\frac{1}{|z^*|})) \stackrel{?}{\in} L^2(\mathbb{R}^2)$$

$$\leadsto f_-(z^*) \text{ polynomial bounded and analytic}$$

$$\leadsto f_-(z^*) \text{ is polynomial in } z^* \text{ with degree } k < \left(\frac{F}{\phi_0} - 1\right)$$

There exist exact

$$d := \left\lfloor \frac{F}{\phi_0} \right\rfloor \text{ linear independent polym.}$$

$$\psi_0^-(\vec{x}) = N e^{-\frac{\varphi}{\phi_0}} (x_1 - ix_2)^k$$

$$k = 0, 1, 2, \dots, d-1$$

Summary:

$$d := \lfloor \frac{F}{\phi_0} \rfloor \quad \text{for electrons } e \ll 0$$

$$F > 0: \quad \psi_0^+(\vec{x}) \equiv 0$$

$$\psi_{0k}^-(\vec{x}) = \mathcal{N} \exp\left\{-\frac{\varphi(\vec{x})}{\phi_0}\right\} (x_1 - ix_2)^k$$

$$k = 0, 1, 2, \dots, d-1 \quad \text{spin-down ground states}$$

$$F < 0: \quad \psi_0^-(\vec{x}) \equiv 0$$

$$\psi_{0k}^+(\vec{x}) = \mathcal{N} \exp\left\{\frac{\varphi(\vec{x})}{\phi_0}\right\} (x_1 + ix_2)^k$$

$$k = 0, 1, 2, \dots, d-1 \quad \text{spin-up ground states}$$

Exercise 13: Spherical sym. Pauli systems

(1)

Recall
$$H_p = \frac{1}{2m} (\vec{p}^2 - \frac{e}{c} \vec{A}(\vec{r}))^2 - \frac{e\hbar}{2mc} \vec{\sigma} \cdot \vec{B} + e\phi(\vec{r})$$

Now we consider $\vec{B} = 0$ but $\vec{A} \sim \vec{\nabla} U(r)$ and $e\phi(\vec{r}) = V(r)$
↑ Tensor potential in Dirac eq.

∴
$$H_p = \frac{1}{2m} (\vec{p} - i\vec{e}_r U'(r))^2 + V(r) \quad \text{on } L^2(\mathbb{R}^3) \otimes \mathbb{C}^2$$

• Conserved angular momentum ($\hbar=1$)

Spin operator: $\vec{S} := \frac{1}{2} \vec{\sigma}$ acting on \mathbb{C}^2 . $\sim \vec{S}^2 = s(s+1) = \frac{1}{2} \frac{3}{2} = \frac{3}{4}$ as $s = \frac{1}{2}$

Angular momentum: $\vec{L} = \vec{r} \times \vec{p}$ acts on $L^2(\mathbb{R}^3)$

Total angular momentum: $\vec{J} := \vec{L} + \vec{S}$

Spin orbit operator: $K := 2\vec{S} \cdot \vec{L} + 1 = \vec{\sigma} \cdot \vec{L} + 1$

• Some relations

• $\vec{J}^2 = (\vec{L} + \vec{S})^2 = \vec{L}^2 + 2\vec{S} \cdot \vec{L} + \vec{S}^2 = \vec{L}^2 + \vec{\sigma} \cdot \vec{L} + \frac{3}{4}$

∴ $\vec{\sigma} \cdot \vec{L} = \vec{J}^2 - \vec{L}^2 - \frac{3}{4} \Rightarrow \underline{\underline{K = \vec{J}^2 - \vec{L}^2 + \frac{1}{4}}}$

• $(\vec{\sigma} \cdot \vec{L})^2 = \sigma_i \sigma_j L_i L_j = \vec{L}^2 + i \epsilon_{ijk} \sigma_k L_i L_j = \vec{L}^2 + \frac{i}{2} \epsilon_{ijk} [L_i, L_j]$
 $\sigma_i \sigma_j = \delta_{ij} + i \epsilon_{ijk} \sigma_k$ $= i \epsilon_{ijm} L_m$

$= \vec{L}^2 + \frac{i}{2} \sigma_k \underbrace{\epsilon_{ijk} \epsilon_{ijm}}_{=2\delta_{km}} L_m = \vec{L}^2 - \vec{\sigma} \cdot \vec{L}$

$\Rightarrow \underline{\underline{\vec{L}^2 = \vec{\sigma} \cdot \vec{L} (\vec{\sigma} \cdot \vec{L} + 1)}} \quad \text{and} \quad \underline{\underline{\vec{L}^2 = K(K-1)}}$

$$K^2 = \vec{L}^2 + K = \vec{L}^2 + \vec{S}^2 - \vec{L}^2 + \frac{1}{4} = \underline{\underline{\vec{S}^2 + \frac{1}{4}}}$$

K is a scalar quantity \sim commutes with \vec{S}^2 and J_3

\sim complete set of operators $\{\vec{S}^2, J_3, K\}$ on $L^2(S^2) \otimes \mathbb{C}^2$

with eigenvalues $\{j(j+1), m, -K\}$

$$j = \frac{1}{2}, \frac{3}{2}, \frac{5}{2}, \dots \quad m = -j, \dots, +j \quad K = ?$$

$$\text{From } K^2 = \vec{S}^2 + \frac{1}{4} \Rightarrow K^2 = j(j+1) + \frac{1}{4} = (j + \frac{1}{2})^2$$

$\Rightarrow K = \pm (j + \frac{1}{2})$ and $K = \pm 1, \pm 2, \dots \in \mathbb{Z} \setminus \{0\}$
 only sign of K is independent quantum number
 (replaces $\uparrow \downarrow$)

$$\text{From } \vec{L}^2 = K(K-1) \sim l(l-1) = (-K)(-K-1) = K(K+1)$$

Hence for $K > 0$: $K = l = j + \frac{1}{2}$ or $j = l - \frac{1}{2}$ \vec{L} and \vec{S} are anti-aligned $l \neq 0$
 $K < 0$: $K = -l - 1 = -(j + \frac{1}{2})$ or $j = l + \frac{1}{2}$ \vec{L} and \vec{S} aligned

Define independent quantum number

$$s := -\text{sgn } K = \pm 1 \quad \text{for } j = l \pm \frac{1}{2} \quad \begin{matrix} \text{aligned} \\ \text{anti aligned} \end{matrix}$$

$$\text{Hence } L^2(S^2) \otimes \mathbb{C}^2 = \bigoplus_{j=\frac{1}{2}, \frac{3}{2}, \dots}^{\infty} \bigoplus_{m=-j}^{+j} \bigoplus_{s=\pm 1} |jms\rangle \langle jms|$$

with

$$\begin{aligned} \vec{S}^2 |jms\rangle &= j(j+1) |jms\rangle \\ J_3 |jms\rangle &= m |jms\rangle \\ K |jms\rangle &= -K |jms\rangle = s|K| |jms\rangle = s(j + \frac{1}{2}) |jms\rangle \end{aligned}$$

Note $j = l + \frac{1}{2}s$

Spin-spherical harmonics / Pauli-spinors

- See Bjorken/Drell, "Relativistic QM"
- Schwabl, "QM für Fortgeschrittene"
- Thaler, "The Dirac Equation"

Let $\varphi_{jm}^{(s)}(\theta, \varphi) := \langle \theta, \varphi | j m s \rangle$

$$\varphi_{jm}^{(s)}(\theta, \varphi) = \begin{pmatrix} \left[\frac{l+s m + 1/2}{2l+1} \right]^{1/2} Y_l^{m-1/2}(\theta, \varphi) \\ s \left[\frac{l-s m + 1/2}{2l+1} \right]^{1/2} Y_l^{m+1/2}(\theta, \varphi) \end{pmatrix}$$

with $l = j - s/2$

$Y_l^m(\theta, \varphi)$ usual spherical harmonics with $Y_l^{-m}(\theta, \varphi) = (-1)^m Y_l^{m*}(\theta, \varphi)$

Property: $(\vec{\sigma} \cdot \vec{e}_r) \varphi_{jm}^{(s)}(\theta, \varphi) = \varphi_{jm}^{(-s)}(\theta, \varphi)$
 changes only sign of s ! ∇

Proof: Recall $[L_j, x_k] = i \epsilon_{j k l} x_l$

Consider

$$\bullet (\vec{\sigma} \cdot \vec{r})(\vec{\sigma} \cdot \vec{L}) = \underbrace{\vec{r} \cdot \vec{L}}_{=0} + i \epsilon_{ijk} x_i L_j \sigma_k = i \epsilon_{ijk} \sigma_k x_i L_j$$

$$\bullet (\vec{\sigma} \cdot \vec{L})(\vec{\sigma} \cdot \vec{r}) = \vec{L} \cdot \vec{r} + i \epsilon_{ijk} \sigma_k L_i x_j \underset{i \leftrightarrow j}{=} -i \epsilon_{ijk} \sigma_k L_j x_i$$

$$\Rightarrow \{ (\vec{\sigma} \cdot \vec{r}), (\vec{\sigma} \cdot \vec{L}) \} = i \epsilon_{ijk} \sigma_k \underbrace{(x_i L_j - L_j x_i)}_{= i \epsilon_{ijl} x_l} = - \underbrace{\epsilon_{ijk} \epsilon_{ijl} \sigma_k x_l}_{2 \delta_{kl}} = -2 \vec{\sigma} \cdot \vec{r}$$

Hence

$$\begin{aligned} \{K, \vec{\sigma} \cdot \vec{e}_r\} &= (\vec{\sigma} \cdot \vec{L} + 1) (\vec{\sigma} \cdot \vec{e}_r) + (\vec{\sigma} \cdot \vec{e}_r) (\vec{\sigma} \cdot \vec{L} + 1) \\ &= \{(\vec{\sigma} \cdot \vec{L}), (\vec{\sigma} \cdot \vec{e}_r)\} + 2 (\vec{\sigma} \cdot \vec{e}_r) = \frac{1}{r} \left[\underbrace{\{(\vec{\sigma} \cdot \vec{L}), (\vec{\sigma} \cdot \vec{r})\}}_{=-2 \vec{e}_r \cdot \vec{r}} + 2 (\vec{\sigma} \cdot \vec{r}) \right] = 0 \end{aligned}$$

$$\Rightarrow \{K, \vec{\sigma} \cdot \vec{e}_r\} = 0$$

Let $K|K\rangle = -K|K\rangle$ be eigenstate of K

$$\leadsto K(\vec{\sigma} \cdot \vec{e}_r)|K\rangle = -(\vec{\sigma} \cdot \vec{e}_r)K|K\rangle = +K(\vec{\sigma} \cdot \vec{e}_r)|K\rangle$$

$\leadsto (\vec{\sigma} \cdot \vec{e}_r)|K\rangle$ is eigenstate of K with eigenvalue $+K$

$$\Rightarrow (\vec{\sigma} \cdot \vec{e}_r) \varphi_{j m}^{(s)} = \varphi_{j m}^{(-s)} \quad \#$$

Grading of $\mathcal{H} = L^2(\mathbb{R}^3) \otimes \mathbb{C}^2$

Let $\mathcal{H}^\pm := \mathcal{H}|_{s=\pm 1}$ subspace where \vec{S} and \vec{L} are ^{parallel} _{anti-parallel} subspaces where $l = \vec{S} \mp \frac{1}{2}$

Define a Witten operator

$$W := -\frac{K}{|K|} \quad \text{well defined as } K \neq 0$$

In matrix notation

$$W = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \begin{matrix} s=+1 \\ s=-1 \end{matrix}$$

Obviously $(\vec{\sigma} \cdot \vec{e}_r) : \mathcal{H}^\pm \rightarrow \mathcal{H}^\mp$

may generate SUSY transformations

Exercise 14: Some examples

①

The free Pauli Hamiltonian

$$\text{Homework: } \vec{\sigma} \cdot \vec{p} = -i\hbar (\vec{\sigma} \cdot \hat{e}_r) \left(\partial_r - \frac{\kappa-1}{r} \right)$$

$$\sim \vec{\sigma} \cdot \vec{p} : \mathcal{H}^{\pm} \rightarrow \mathcal{H}^{\mp}$$

$$\text{Supercharge: } Q = \frac{1}{\sqrt{2m}} \begin{pmatrix} 0 & A \\ 0 & 0 \end{pmatrix} \text{ with } A := \vec{\sigma} \cdot \vec{p} = A^{\dagger}$$

$$\sim \text{Hamiltonian: } H := \{Q, Q^{\dagger}\} = \frac{p^2}{2m}$$

General spherically symmetric potentials

$$H = \frac{1}{2m} \vec{p}^2 + V(r) = \frac{1}{2m} \left(p_r^2 + \frac{\vec{L}^2}{r^2} \right) + V(r)$$

$$p_r := -i\hbar \left(\partial_r + \frac{1}{r} \right) = -i\hbar \frac{1}{r} \partial_r r \quad \text{radial momentum op.}$$

Let us restrict \mathcal{H} to subspace with fixed j and m

$$\sim \mathcal{H}|_{j,m} = \mathcal{H}^{\pm} \text{ with } s = \pm 1$$

$$\text{recall } l^{(s)} = j - \frac{1}{2}s \sim \text{and set } l^{(-)} \equiv l \sim l^{(+)} = l-1$$

$$\text{and } K^2 = \left(j + \frac{1}{2} \right)^2 \sim K = \begin{cases} -(j+1) = -(l^{(+)}+1) = -l & \text{on } \mathcal{H}^+ \\ (j+\frac{1}{2}) = l^{(-)} = l & \text{on } \mathcal{H}^- \end{cases}$$

$$\text{Hence } H = \begin{pmatrix} H_+ & 0 \\ 0 & H_- \end{pmatrix} \text{ with } \vec{L}^2 = K(K-1) (= K(K+1))$$

$$H_{\pm} = \frac{p_r^2}{2m} + \frac{\hbar^2 l(l \mp 1)}{2mr^2} + V(r)$$

See Witten models on half line!

• The Coulomb problem $V(r) = -\frac{\alpha}{r}$

without any proofs, for info only

Runge-Lenz vector: $\vec{R} := \frac{1}{2\alpha m} (\vec{p} \times \vec{L} - \vec{L} \times \vec{p}) - \vec{e}_r$ is conserved

$$[H, \vec{R}] = \vec{0}, \quad \vec{R} \cdot \vec{L} = 0, \quad [L_j, R_k] = i \epsilon_{jkl} R_l$$

$$|\vec{R}|^2 = \frac{2H}{m\alpha^2} (\vec{L}^2 + 1) + 1$$

$$[R_i, R_j] = -\frac{2iH}{m\alpha^2} \epsilon_{ijk} L_k, \quad (\vec{\sigma} \cdot \vec{R})^2 = 1 + \frac{2H\alpha^2}{m\alpha^2}$$

$$\vec{\sigma} \cdot \vec{R} = (\vec{\sigma} \cdot \vec{e}_r) \left[-\frac{\alpha}{m\alpha} \left(\partial_r - \frac{\ell-1}{r} \right) - 1 \right]$$

\uparrow generates SUSY transformations between \mathcal{H}^\pm

Let $Q_1 := \sqrt{\frac{m\alpha^2}{4\ell^2}} (\vec{\sigma} \cdot \vec{R}) \quad \rightsquigarrow \quad H_\pm = 2Q_1^2 = H + \frac{m\alpha^2}{2\ell^2}$

with fixed ℓ and m

$$H_\pm|_{\ell m} = \begin{pmatrix} H_+ & 0 \\ 0 & H_- \end{pmatrix} = \frac{p_r^2}{2m} + \frac{\hbar^2 \ell(\ell+1)}{2m r^2} - \frac{\alpha}{r} + \frac{m\alpha^2}{2\ell^2}$$

• Spherical tensor potentials

Let $A := \frac{1}{\sqrt{2m}} \vec{\sigma} \cdot (\vec{p} - i\vec{e}_r U'(r))$, $U: \mathbb{R}^+ \rightarrow \mathbb{R}$

$\rightsquigarrow H_\pm := \{Q, Q^\dagger\} = \begin{pmatrix} H_+ & 0 \\ 0 & H_- \end{pmatrix} = \begin{pmatrix} AA^\dagger & 0 \\ 0 & A^\dagger A \end{pmatrix}$ with

$$H_\pm = \frac{\vec{p}^2}{2m} + \frac{1}{2m} [U'(r)]^2 \pm \frac{1}{2m} U''(r) \pm \frac{U'(r)}{mr} \kappa$$

Example: $U(r) = \frac{m}{2} \omega^2 r^2$ Parli oscillator

$\rightsquigarrow H_\pm = \frac{\vec{p}^2}{2m} + \frac{m}{2} \omega^2 \vec{r}^2 \pm \omega \left(\kappa + \frac{1}{2} \right)$