# 3.5 Harmonic Analysis on Homogenous Spaces

Let  $f(g) \in L^2(G/H)$ , H massive with  $H\vec{x}_0 = \vec{x}_0$   $\Rightarrow f(\vec{x}) = f(g\vec{x}_0) =: \hat{f}(g) \in L^2(G)$  such that  $\hat{f}(gh) = \hat{f}(g)$  for all  $h \in H$ . All  $f(g) \in L^2(G/H)$  are associate spherical functions.

Peter-Weyl Theorem: continuous version

$$\hat{f}(g) = \sum_{\text{all UIR } j \text{ of } G} d_j \sum_{m,n} \tilde{f}_{nm}^j D_{mn}^j(g)$$

$$\tilde{f}_{nm}^j = \int_G dg \, \hat{f}(g) \, D_{mn}^{j *}(g)$$

Fourier coefficient for associate spherical functions:

$$\tilde{f}_{nm}^{j} = \int_{G} \mathrm{d}g \, \hat{f}(g) \, D_{mn}^{j \, *}(g) = \int_{G} \mathrm{d}g \, \hat{f}(g) \, D_{mn}^{j \, *}(gh) = \int_{G} \mathrm{d}g \, \hat{f}(g) \, \sum_{k} D_{mk}^{j \, *}(g) D_{kn}^{j \, *}(h)$$

Integration over h:

$$\tilde{f}_{nm}^{j} = \int_{G} dg \, \hat{f}(g) \, D_{mn}^{j *}(g) = \int_{G} dg \, \hat{f}(g) \, D_{mn}^{j *}(gh) = \int_{G} dg \, \hat{f}(g) \, \sum_{k} D_{mk}^{j *}(g) \int_{H} dh \, D_{kn}^{j *}(h)$$

Note: The UIR  $D^j$  of G is in general a reducible unitary reps of  $H \subset G$ . So let's decompose into UIR  $D^{\alpha}$  of H

$$D^j = \sum_{\alpha} c_{\alpha} D^{\alpha}$$

1) Let  $j \neq \text{class 1}$ : No invariant vector in  $D^j \Rightarrow D^j$  does not contain trivial representation

$$\int_{H} dh \, D_{kn}^{j*}(h) = 0 \quad \text{for all} \quad j \neq \text{class } 1$$

2) Let j= class 1: As H is massive  $\Rightarrow$  exists only one vector  $|\varphi_0\rangle$  such that  $D^j(h)|\varphi_0\rangle=|\varphi_0\rangle$ . That is,  $D^j_{00}(h)=\langle \varphi_0|D^j(h)|\varphi_0\rangle=1$ .

In other words, the trivial reps of H appears exactly once in above decomposition and

$$\int_{H} dh \, D_{kn}^{j*}(h) = \delta_{k0} \delta_{n0} \quad \text{for all} \quad j = \text{class 1}$$

Result:

$$\tilde{f}_{nm}^{j} = \int_{G} dg \, \hat{f}(g) \, D_{m0}^{j*}(g) \delta_{n0}$$

Let  $\Lambda$  be the set of class 1 reps of G:

$$f(\vec{x}) = \hat{f}(g) = \sum_{j \in \Lambda} d_j \sum_{m=0}^{d_j - 1} \tilde{f}_m^j D_{m0}^j(g)$$
$$\tilde{f}_m^j = \int_G \mathrm{d}g \, \hat{f}(g) \, D_{m0}^{j *}(g)$$

Generalised spherical harmonics:

$$Y_{\ell m}(\vec{x}) := \sqrt{d_{\ell}} D_{m0}^{\ell}(g), \qquad \vec{x} = g\vec{x}_0$$

Form complete orthonormal set on  $L^2(G/H)$ :

$$\int_{G/H} \mathrm{d}\mu(\vec{x}) \, Y_{\ell m}(\vec{x}) Y_{\ell' m'}^*(\vec{x}) = \int_G \mathrm{d}g \, \sqrt{d_\ell d_{\ell'}} \, \underbrace{D_{m0}^\ell(g) D_{m'0}^{\ell'*}(g)}_{\delta'_{\ell \ell} \delta_{mm'}/d_\ell} = \delta'_{\ell \ell} \delta_{mm'}$$

Harmonic analysis on homogenous spaces G/H (with massive H):

$$f(\vec{x}) = \sum_{\ell \in \Lambda} \sum_{m} c_{\ell m} Y_{\ell m}(\vec{x})$$
$$c_{\ell m} = \int_{G/H} d\mu(\vec{x}) f(\vec{x}) Y_{\ell m}^*(\vec{x})$$

Note:  $Y_{\ell m}(\vec{x})$  are also eigenfunctions of Laplace-Beltrami operator on  $\mathcal{M} = G/H$ . Harmonic analysis for zonal spherical functions:  $f(h_1^{-1}gh_2) = f(g), h_1, h_2 \in H$ 

$$f(g) = \sum_{\ell \in \Lambda} d_{\ell} \, \lambda_{\ell} \, D_{00}^{\ell}(g)$$
$$\lambda_{\ell} = \int_{G} \mathrm{d}g \, f(g) \, D_{00}^{\ell *}(g)$$

# 3.6 Generators of Lie Groups

Let G be a Lie group with  $g = g(\alpha) \in G$ ,  $\alpha = (\alpha^1, \dots, \alpha^n)$ , e = g(0) neutral element Consider reps of G in some  $\mathcal{H}$ :  $D(g) = D(g(\alpha))$  with D(g(0)) = 1

## Generator:

$$X_a := \left. \frac{\partial D(g(\alpha))}{\partial \alpha^a} \right|_{\alpha = 0}$$

In quantum mechanics often  $T_a = \pm i X_a$  as they are self-adjoint operators for unitary reps Generators are in essence the reps matrices near neutral element as

$$D(g(\delta\alpha)) = 1 + \delta\alpha^a X_a + O(\delta\alpha^2)$$
  

$$D(g^{-1}(\delta\alpha)) = [D(g(\delta\alpha))]^{-1} = 1 - \delta\alpha^a X_a + O(\delta\alpha^2)$$

We now use summation convention, so in above sum over a

#### **Comments:**

- Generators depend on parametrisation of G
- For unitary reps  $D(g^{-1}(\delta \alpha)) = D^{\dagger}(g(\delta \alpha)) \Rightarrow X_a^{\dagger} = -X_a$  or  $T_a^{\dagger} = T^a$
- For dim  $\mathcal{H} = d < \infty$ :  $X_a$  or  $T_a$  are  $d \times d$  matrices
- For dim  $\mathcal{H} = \infty$ :  $X_a$  or  $T_a$  are linear operators acting on vectors in  $\mathcal{H}$

Consider:

$$D(g(\delta\gamma)) = D(g^{-1}(\beta)g(\delta\alpha)g(\beta))$$
  
= 1 + \delta\alpha^a D(g^{-1}(\beta))X\_a D(g(\beta))  
= 1 + \delta\gamma^a X\_a

That is  $D(g^{-1}(\beta))X_aD(g(\beta))$  is a linear combination of generators Now  $\beta \to \delta\beta$  small

$$(1 - \delta \beta^b X_b) X_a (1 + \delta \beta^b X_b) = X_a + \delta \beta^b (X_a X_b - X_b X_a) + O(\delta \beta^2)$$

 $\Rightarrow$ 

$$\boxed{[X_a, X_b] := (X_a X_b - X_b X_a) = c_{ab}^d X_d}$$

The constants  $c_{ab}^d$  are called *structure constants* 

#### **Properties**

- $\bullet$  Depend on parametrisation of H
- $c_{ab}^d = -c_{ba}^d$  antisymmetric in lower indices,  $c_{aa}^d = 0$
- From Jacobi identity  $[[X_a, X_b], X_c] + [[X_b, X_c], X_a] + [[X_c, X_a], X_b] = 0$  follows

$$c_{ab}^{d}c_{dc}^{e} + c_{bc}^{d}c_{da}^{e} + c_{ca}^{d}c_{db}^{e} = 0$$

• G abelian  $\Leftrightarrow c_{ab}^d = 0$ Obvious as  $D(g^{-1}(\beta))X_aD(g(\beta)) = X_a \Leftrightarrow [X_a, X_b] = 0$  for all a, b, d

**Example:** G = SO(3) (see Homework 4 Problem 10 with  $\hbar = 1$ )

$$[L_i, L_j] = i\varepsilon_{ijk}L_k \qquad \Rightarrow \qquad c_{ij}^k = i\varepsilon_{ijk}$$

# 3.7 Generators for transformation groups

Consider transfomation on  $\mathcal{M}$ :  $x' = g(\alpha)x$  and let  $\alpha \to \delta\alpha$ Then the  $\mu$ -component of  $x'_{\mu}$  is given by

$$x'_{\mu} = x_{\mu} + \delta x_{\mu} = x_{\mu} + \delta \alpha^{a} U_{a\mu}(x) + O(\delta^{2} \alpha)$$

where

$$U_{a\mu}(x) := \frac{\delta x_{\mu}}{\delta \alpha^a} \,.$$

Consider reps in  $\mathcal{H} = L^2(\mathcal{M})$ :

$$(D(g(\alpha))\psi)(x) = \psi(g^{-1}(\alpha)x)$$

then again let  $\alpha \to \delta \alpha$ 

$$(\psi + \delta \alpha^a X_a \psi)(x) = \psi(x - \delta x) = \psi(x) - \delta \alpha^a U_{a\mu}(x) \frac{\partial \psi}{\partial x_{\mu}}(x)$$

**Generator:** Is a linear differential operator on  $\mathcal{H}$ 

$$X_a = -U_{a\mu}(x)\frac{\partial}{\partial x_{\mu}} = -U_{a\mu}(x)\partial^{\mu}$$

Example:  $\mathcal{M} = \mathbb{R}^2$ , G = SO(2)

$$\begin{pmatrix} x_1' \\ x_2' \end{pmatrix} = \begin{pmatrix} \cos(\delta\alpha) & -\sin(\delta\alpha) \\ \sin(\delta\alpha) & \cos(\delta\alpha) \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \approx \begin{pmatrix} 1 & -\delta\alpha \\ \delta\alpha & 1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$$
$$= \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \delta\alpha \begin{pmatrix} -x_2 \\ x_1 \end{pmatrix}$$

Hence 
$$U_1(x) = \frac{\delta x_1}{\delta \alpha} = -x_2$$
 and  $U_2(x) = \frac{\delta x_2}{\delta \alpha} = x_1$   

$$\Rightarrow X = -U_{\mu}(x)\partial^{\mu} = -\left(-x_2\partial^1 + x_1\partial^2\right) = x_2\frac{\partial}{\partial x_1} - x_1\frac{\partial}{\partial x_2}$$

Or with  $T = i\hbar X$ 

$$T = i\hbar \left( x_2 \frac{\partial}{\partial x_1} - x_1 \frac{\partial}{\partial x_2} \right) = L_3$$

Rotations in the plane are generated by the angular momentum operator  $L_3$  on  $L^2(\mathbb{R}^2)$ .

Generator of rotation matrix (2-dim reps in  $\mathbb{R}^2$ )

$$\left( \begin{array}{cc} \cos(\delta\alpha) & -\sin(\delta\alpha) \\ \sin(\delta\alpha) & \cos(\delta\alpha) \end{array} \right) \approx 1 + \delta\alpha \left( \begin{array}{cc} 0 & -1 \\ 1 & 0 \end{array} \right) = 1 - \mathrm{i}\delta\alpha\,\sigma_2$$

Generator for rotation matrix in  $\mathbb{R}^2$  is given by Pauli matrix  $X=-\mathrm{i}\sigma_2$ Finite rotation by angle  $\alpha$  is given

$$e^{\alpha X} = \sum_{n=0}^{\infty} \frac{1}{n!} \alpha^n X^n$$

$$= \sum_{n=0}^{\infty} \frac{1}{n!} (-i\alpha)^n \sigma_2^n \qquad (\sigma_2^2 = 1)$$

$$= \mathbf{1} \sum_{k=0}^{\infty} \frac{(-1)^k}{(2k)!} \alpha^{2k} - i\sigma_2 \sum_{k=0}^{\infty} \frac{(-1)^k}{(2k+1)!} \alpha^{2k+1}$$

$$= \begin{pmatrix} \cos \alpha & -\sin \alpha \\ \sin \alpha & \cos \alpha \end{pmatrix} = e^{-i\alpha\sigma_2}$$

Finite group elements can be represented by generators via exponentiation.

For each generator exists an one-parameter subgroup represented by

$$D(g(t)) = e^{tX_t}$$

Recall with  $\delta t = t/n$  for large n

$$D(g(t)) = [D(g(\delta t))]^n = \lim_{n \to \infty} [1 + \delta t X_t]^n = \lim_{n \to \infty} \left[ 1 + \frac{t}{n} X_t \right]^n = e^{tX_t}$$

# 4 Lie Algebras

## 4.1 Definitions

A finite-dimensional vector space  $\mathcal{L}$  (real or complex) is called  $Lie\ Algebra$  if there exists a  $Lie\ Product \circ$  such that

- $X \circ Y \in \mathcal{L}$   $\forall X, Y \in \mathcal{L}$
- $(\alpha X + \beta Y) \circ Z = \alpha X \circ Y + \beta Y \circ Z$   $\forall X, Y, Z \in \mathcal{L}, \quad \alpha, \beta \in \mathbb{R} \text{ or } \mathbb{C}$
- $Z \circ Y = -Y \circ X$  antisymmetric
- $X \circ (Y \circ Z) + Y \circ (Z \circ X) + Z \circ (X \circ Y) = 0$  Jacobi Identity

**Example:**  $\mathcal{L} = \mathbb{R}^3$  with  $\vec{x} \circ \vec{y} := \vec{x} \times \vec{y}$ 

**Theorem:** The generators of a Lie Group form a Lie Algebra with

$$X \circ Y := [X, Y] = XY - YX$$

## **Comments:**

- Notation  $G = SO(n) \Leftrightarrow \mathcal{L} = so(n)$ , that is, use lower case notation for algebra
- locally isomorphic groups : $\Leftrightarrow$  have the same (isomorphic) Lie Algebra Example:  $so(3) \simeq su(2)$  are isomorphic
- Reps of group  $\Leftrightarrow$  Reps of algebra
- $\dim G = \dim \mathcal{L}$

From now on we consider only

$$X \circ Y = [X, Y]$$

# Homomorphism:

$$T: \begin{array}{c} \mathcal{L} \to \mathcal{L}' \\ X \mapsto T(X) \end{array}$$

such that  $T(\alpha X + \beta Y) = \alpha T(x) + \beta T(Y)$  and T([X,Y]) = [T(X),T(Y)]

Subalgebra: Subspace  $\mathcal{N} \subset \mathcal{L}$  with  $[\mathcal{N}, \mathcal{N}] \subset \mathcal{N}$ 

**Ideal:** Subalgebra  $\mathcal{N} \subset \mathcal{L}$  with  $[\mathcal{L}, \mathcal{N}] \subset \mathcal{N}$ 

trivial ideals are  $\mathcal{L} = \{0\}$  and  $\mathcal{N} = \mathcal{L}$ , rest are called proper ideals

Center: max. ideal such that  $[\mathcal{N}, \mathcal{N}] = 0$  abelian algebra

Simple Lie algebra:  $\mathcal{L}$  has no proper ideal

Semi-simple Lie Algebra:  $\mathcal{L}$  has no abelian ideals

### 4.2 Representations of Lie Algebras

## Homomorphism:

$$D: \begin{array}{l} \mathcal{L} \to \text{lin. operators on } \mathcal{D} \\ X \mapsto D(X) \end{array}$$

is called representation of  $\mathcal{L}$  in  $\mathcal{D}$ 

Reps of Lie Group 
$$\Leftrightarrow$$
 Reps of Lie Algebra  $D(g(\alpha)) = \mathrm{e}^{\alpha^a X_a}$   $X_a$   $D(g(\alpha)) = \mathrm{e}^{\mathrm{i}\alpha^a T_a}$   $T_a$  unitary  $X_a^\dagger = -X_a \,, T_a^\dagger = T_a$  irreducible irreducible

# Adjoint Representation:

Let  $X \in \mathcal{L}$  be fixed

$$\begin{array}{rcl} ad(X): & \mathcal{L} \rightarrow \mathcal{L} \\ Y \mapsto [X,Y] \\ \\ [ad(X),ad(Y)]Z &=& ad(X)ad(Y)Z - ad(Y)ad(X)Z \\ &=& ad(X)[Y,Z] - ad(Y)[X,Z] \\ &=& [X,[Y,Z]] - [Y,[X,Z]] \\ &=& -[Z,[X,Y]] \quad \text{Jacobi Id.} \\ &=& [[X,Y],Z] \\ &=& ad([X,Y])Z \quad \forall \, Z \in \mathcal{L} \end{array}$$

Let  $X_1, \ldots, X_n$  be basis in  $\mathcal{L}$  with

$$[X_i, X_k] = c_{ik}^l X_l$$

then

$$ad(X_i)X_k = [X_i, X_k] = c_{ik}^l X_l$$

The structure constants are the matrix elments of the adjoint representation

$$ad(X_i)_k^l = c_{ik}^l$$

Note:  $\dim ad(X) = \dim \mathcal{L} = \dim G$ 

Example so(3): dim so(3) = 3 (see Homework 4 Problem 10)

## 4.3 Cartan Metric

Scalar product in  $\mathcal{L}$ : (Killing form)

$$(X,Y) := \operatorname{Tr} (ad(X)ad(Y))$$

Using above basis  $X_1, \ldots, X_n$  in  $\mathcal{L}$ 

#### Cartan metric:

$$g_{kl} := \operatorname{Tr} \left( ad(X_k) ad(X_l) \right) = c_{kr}^s c_{ls}^r = g_{lk}$$
 symmetric

Let  $X = a^k X_k$  and  $Y = b^l X_l$  then

$$(X,Y) = \operatorname{Tr}(a^k \operatorname{ad}(X_k) \operatorname{b}^l \operatorname{ad}(X_l)) = \operatorname{Tr}(\operatorname{ad}(X_k) \operatorname{ad}(X_l)) \operatorname{a}^k \operatorname{b}^l = g_{kl} \operatorname{a}^k \operatorname{b}^l$$

## Cartan Criterium:

$$\det(g_{kl}) \neq 0 \qquad \Leftrightarrow \qquad \mathcal{L} \text{ semi-simple}$$

This implies the existence of the inverse metric tensor:  $g_{kl}g^{lm} = \delta_k^l$ 

Lie algebra  $compact :\Leftrightarrow g_{kl}$  positive or negative definite

## **Examples:**

• 
$$so(3)$$
:  $[X_i, X_j] = \varepsilon_{ijk} X_k$   $\Rightarrow g_{kl} = \varepsilon_{krs} \varepsilon_{lrs} = 2\delta_{kl}$   
semi-simple and compact  
also with  $L_i = iX_i$   $\Rightarrow$   $[L_i, L_j] = i\varepsilon_{ijk} L_k$   $\Rightarrow g_{kl} = -2\delta_{kl}$ 

• 
$$so(2,1)$$
:  $[X_1, X_2] = X_3$ ,  $[X_2, X_3] = -X_1$ ,  $[X_3, X_1] = X_2$ 
 $\Rightarrow c_{12}^3 = 1 = -c_{21}^3$ ,  $c_{23}^1 = -1 = -c_{32}^1$ ,  $c_{31}^2 = 1 = -c_{13}^2$ 
 $\Rightarrow g_{11} = \text{Tr} \left(ad(X_1)ad(X_1)\right) = 2c_{12}^3c_{13}^2 = -2$ 
 $\Rightarrow g_{22} = \text{Tr} \left(ad(X_2)ad(X_2)\right) = 2c_{23}^1c_{21}^3 = 2$ 
 $\Rightarrow g_{33} = \text{Tr} \left(ad(X_3)ad(X_3)\right) = 2c_{23}^2c_{32}^2 = 2$ 
 $\Rightarrow (g_{kl}) = \begin{pmatrix} -2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 2 \end{pmatrix}$  semi-simple and NOT compact

Let  $T_i = iX_i \Rightarrow [T_1, T_2] = iT_3$ ,  $[T_2, T_3] = -iT_1$ ,  $[T_3, T_1] = -iT_2$ 
 $\Rightarrow (g_{kl}) = \begin{pmatrix} -2 & 0 & 0 \\ 0 & -2 & 0 \\ 0 & 0 & 2 \end{pmatrix}$ 

This  $so(2,1) \simeq su(1,1)$  algebra is often used in QM as spectrum generating algebra  $T_3$  is called the compact operator

## Consider:

$$c_{ijk} := g_{il}c_{jk}^l = c_{ir}^s c_{ls}^r c_{jk}^l = c_{ir}^s \left( -c_{ks}^l c_{lj}^r - c_{sj}^l c_{lk}^r \right) = c_{ir}^s c_{jl}^r c_{ks}^l + c_{ri}^s c_{sj}^l c_{lk}^r$$

$$\Rightarrow c_{ijk} \text{ is totally anti-symmetric}$$

# 4.4 The Casimir Operator

### **Definition:**

$$C := g^{kl} X_k X_l = g_{kl} X^k X^l$$

Consider

$$[C, X_{i}] = g^{kl}[X_{k}X_{l}, X_{i}]$$

$$= g^{kl}X_{k}[X_{l}, X_{i}] + g^{kl}[X_{k}, X_{i}]X_{l}$$

$$= g^{kl}X_{k}c_{li}^{r}X_{r} + g^{kl}c_{ki}^{r}X_{r}X_{l}$$

$$= g^{kl}X_{k}c_{li}^{r}X_{r} + g^{kl}c_{li}^{r}X_{r}X_{k} \quad \text{as } g^{kl} = g^{lk}$$

$$= g^{kl}c_{li}^{r}(X_{k}X_{r} + X_{r}X_{k})$$

$$= g^{kl}g^{rs}\underbrace{(X_{k}X_{r} + X_{r}X_{k})}_{sym.\ k\leftrightarrow r}\underbrace{c_{lis}}_{antisym.\ l\leftrightarrow s} = 0$$

The Casimir operator commutes with all elements of  $\mathcal{L}$ In case of an irreducible reps follows via Schur lemma:  $C = \lambda \mathbf{1}$ These eigenvalues are used to characterise the irreducible reps

#### **Examples:**

• 
$$so(3) \simeq su(2) : g_{kl} = -2\delta_{kl}, \qquad g^{kl} = -\frac{1}{2}\delta^{kl}$$
  
 $C = -\frac{1}{2}\left(X_1^2 + X_2^2 + X_3^2\right) = \frac{1}{2}\vec{J}^2 \text{ with } J_k = -iX_k, \vec{J}^2 = j(j+1)\mathbf{1}, j = 0, \frac{1}{2}, 1, \frac{3}{2}, \dots$ 

• 
$$so(2,1) \simeq su(1,1)$$
:
$$g_{kl} = \frac{1}{2} \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} , \qquad C = \frac{1}{2}(-X_1^2 + X_2^2 + X_3^2) \qquad \text{unbounded operator}$$

**Comment:** The UIR of non-compact groups/algebras are ALL infinite dimensional. This becomes a problem for Lorentz group  $SO(3,1) = SO(3) \otimes SO(2,1)$  and the classification of elementary particles. Way out is to use non-unitary but finite-dimensional irreducible reps. More later (Wigner states).

### Generalisation of Racah:

$$C_n := c_{k_1 l_1}^{l_2} c_{k_2 l_2}^{l_3} \cdots c_{k_n l_n}^{l_1} X^{k_1} X^{k_2} \cdots X^{k_n}$$

commute with all  $X_i$ :  $[C_n, X_i] = 0$ 

Range of  $\mathcal{L} :\Leftrightarrow \text{No. of independent } C_n$ 's

# 4.5 Representations of Lie Algebras in Quantum Mechanics

## **4.5.1** The angular momentum algebra $so(3) \simeq su(2)$

Algebra:

$$[J_i, J_k] = i\hbar \varepsilon_{ikl} J_l$$

UIR have dimension  $d_j = 2j+1$  with  $j = 0, \frac{1}{2}, 1, \frac{3}{2}, \dots$  $\mathcal{D}^j = \operatorname{span}\{|j,m\rangle|m = -j, \dots, j\} \simeq \mathbb{C}^{2j+1}$ 

Cartan-Weyl basis:  $J_{\pm} := J_1 \pm J_2$ ,  $J_0 := J_3$ 

$$[J_0, J_{\pm}] = \pm \hbar J_{\pm}, \qquad [J_+, J_-] = 2\hbar J_0$$

Usual basis:  $J_0|j,m\rangle=m\hbar|j,m\rangle \Rightarrow J_\pm$  change eigenvalue by  $\pm\hbar$  Ansatz:

$$J_{\pm}|j,m\rangle = N_{\pm}\hbar|j,m\pm 1\rangle$$

Casimir:  $C = \frac{1}{2}\vec{J}^2 \sim \mathbf{1} \Rightarrow \vec{J}^2|j,m\rangle = \lambda_j|j,m\rangle$  with  $\lambda_j \geq 0$  as  $\vec{J}^2 \geq 0$ .

Calculation of  $N_{\pm}$ :  $(J_{\pm})^{\dagger} = J_{\mp}$ ,  $J_0^{\dagger} = J_0$ 

$$|N_{\pm}|^{2}\hbar^{2} = ||J_{\pm}|j,m\rangle||^{2} = \langle j,m|J_{\mp}J_{\pm}|j,m\rangle = \langle j,m|[\vec{J}^{2} - J_{0}(J_{0} \pm 1)]|j,m\rangle$$
$$= [\lambda_{j} - m(m \pm 1)]\hbar^{2} \ge 0$$

Hence m must be bounded  $m_{min} \le m \le m_{max}$  with  $J_+|j,m_{max}\rangle = 0$  and  $J_-|j,m_{min}\rangle = 0$  Consider

$$\vec{J}^2|j,m_{max}\rangle = J_-J_+|j,m_{max}\rangle + J_0(J_0+1)|j,m_{max}\rangle = m_{max}(m_{max}+1)|j,m_{max}\rangle$$

$$\vec{J}^{2}|j, m_{min}\rangle = J_{+}J_{-}|j, m_{min}\rangle + J_{0}(J_{0}-1)|j, m_{max}\rangle = m_{min}(m_{min}-1)|j, m_{max}\rangle$$

With  $\lambda_j := j(j+1)$  we find  $m_{max} = j = -m_{min}$  and

$$N_{\pm} = \sqrt{(j \mp m)(j \pm m + 1)} e^{i\phi_{\pm}}$$

## 4.5.2 The so(4) symmetry of the H-atom

## Classical Kepler problem:

$$E = \frac{\vec{p}^2}{2m} - \frac{\alpha}{r}, \qquad \alpha = GMm$$

with

$$\vec{\ell} = \vec{r} \times \vec{p} = mr^2 \vec{\omega} = const., \qquad \frac{\mathrm{d}}{\mathrm{d}t} \vec{e}_r = \vec{\omega} \times \vec{e}_r$$

and Newton equation

$$\vec{F} = \dot{\vec{p}} = -\frac{\alpha}{r^2} \, \vec{e}_r$$

Laplace-Runge-Lenz vector:

$$\vec{A} := \vec{p} \times \vec{\ell} - m\alpha \vec{e_r} = const.$$
, and  $\vec{A}^2 = m^2 \alpha^2 + 2m \vec{\ell}^2 E$ 

Proofs:

• 
$$\vec{A} = \vec{p} \times \vec{\ell} - m\alpha \vec{e_r} = -\frac{\alpha}{r^2} \vec{e_r} \times (mr^2 \vec{\omega}) - m\alpha \vec{\omega} \times \vec{e_r} = \vec{0}$$

• 
$$\vec{A}^2 = (\vec{p} \times \vec{\ell})^2 - 2m\alpha\vec{e}_r \cdot (\vec{p} \times \vec{\ell}) + m^2\alpha^2 = \vec{p}^2\vec{\ell}^2 - (\vec{p} \cdot \vec{\ell})^2 - \frac{2m\alpha}{r}\vec{\ell} \cdot (\vec{r} \times \vec{p})) + m^2\alpha^2 = \vec{p}^2\vec{\ell}^2 - \frac{2m\alpha}{r}\vec{\ell}^2 + m^2\alpha^2 = 2mE\vec{\ell}^2 + m^2\alpha^2$$

# Quantum mechanical hydrogen atom:

Hamiltonian:

$$H = \frac{1}{2m} \vec{P}^2 - \alpha \frac{\vec{Q}}{|\vec{Q}|}$$
 on  $\mathcal{H} = L^2(\mathbb{R}^3)$ 

Angular Momentum:

$$\vec{L} = \vec{Q} \times \vec{P}$$

Laplace-Runge-Lenz vector: (re-scaled and symmetrized)

$$ec{A} := rac{1}{2mlpha} \left( ec{P} imes ec{L} - ec{L} imes ec{P} 
ight) - rac{ec{Q}}{|ec{Q}|}$$

⇒ Two conserved vector operators

$$[H, \vec{L}] = \vec{0}$$
,  $[H, \vec{A}] = \vec{0}$ 

and

$$\vec{A}^{\,2} = 1 + \frac{2}{m\alpha^2} \left( \hbar^2 + \vec{L}^{\,2} \right) H \,, \qquad \vec{L} \cdot \vec{A} = 0 = \vec{A} \cdot \vec{L} \label{eq:delta2}$$

Algebra:

$$\begin{split} [A_i,A_j] &= \mathrm{i}\hbar\varepsilon_{ijk}L_k\left(-\frac{2H}{m\alpha^2}\right)\\ [L_i,L_j] &= \mathrm{i}\hbar\varepsilon_{ijk}L_k\\ [A_i,L_j] &= \mathrm{i}\hbar\varepsilon_{ijk}A_k = [L_i,A_j] \end{split}$$

Consider subspace with fixed energy E < 0:

$$\mathcal{H}_E \subset L^2(\mathbb{R}^3)$$
 with  $\mathcal{H}_E := \{ |\psi\rangle \in L^2(\mathbb{R}^3) | H|\psi\rangle = E|\psi\rangle \}$ 

Redefine:  $\vec{N}:=\left(-\frac{2E}{m\alpha^2}\right)^{-1/2}\vec{A}, \qquad \vec{M}:=\vec{L}$  then

$$[M_i, M_j] = i\hbar \varepsilon_{ijk} M_k$$

$$[M_i, N_j] = i\hbar \varepsilon_{ijk} N_k$$

$$[N_i, N_j] = i\hbar \varepsilon_{ijk} M_k$$

$$so(4)-algebra$$
 (see homework)

Decoupling:  $\vec{J} := \frac{1}{2}(\vec{M} + \vec{N})$  and  $\vec{K} := \frac{1}{2}(\vec{M} - \vec{N})$ 

$$[J_i, J_j] = i\hbar \varepsilon_{ijk} J_k$$

$$[K_i, K_j] = i\hbar \varepsilon_{ijk} K_k$$

$$[K_i, J_j] = 0$$

$$so(4) \simeq so(3) \oplus so(3)$$

Consider UIR:

$$\vec{K}^{2}|k,m_{k}\rangle = k(k+1)\hbar^{2}|k,m_{k}\rangle$$
$$\vec{J}^{2}|j,m_{j}\rangle = j(j+1)\hbar^{2}|j,m_{j}\rangle$$

Recall: 
$$\vec{L} \cdot \vec{A} = 0 = \vec{M} \cdot \vec{K} = \vec{J}^2 - \vec{K}^2 \implies k = j$$
  
Hence:  $\mathcal{H}_E = D^k \otimes D^k$  product space of two UIR of  $so(3)$ 

Hence:

 $|k, m_k, m_j\rangle := |k, m_k\rangle \otimes |j, m_j\rangle$ Product basis:

Consider:

$$(\vec{J}^2 + \vec{K}^2)|k, m_k, m_j\rangle = 2k(k+1)\hbar^2|k, m_k, m_j\rangle$$

On the other hand:

$$\begin{split} \vec{J}^2 + \vec{K}^{\,2} &= \frac{1}{2} (\vec{M}^{\,2} + \vec{N}^{\,2}) \\ &= \frac{1}{2} \left( \vec{L}^{\,2} - \frac{m\alpha^2}{2E} \vec{A}^{\,2} \right) \\ &= \frac{1}{2} \left( \vec{L}^{\,2} - \frac{m\alpha^2}{2E} \left( 1 + \frac{2E}{m\alpha^2} (\hbar^2 + \vec{L}^2) \right) \right) \\ &= -\frac{m\alpha^2}{4E} - \frac{\hbar^2}{2} \end{split}$$

$$\Rightarrow \frac{-m\alpha^2}{4E} = \frac{\hbar^2}{2} + 2k(k+1)\hbar^2 = \frac{\hbar^2}{2}(4k^2 + 4k + 1) = \frac{\hbar^2}{2}(2k+1)^2$$

$$E = -\frac{m\alpha^2}{2\hbar^2} \frac{1}{(2k+1)^2} = -\frac{m\alpha^2}{2\hbar^2} \frac{1}{n^2}$$
 with  $n := 2k+1$ 

Note:  $k \in \{0, \frac{1}{2}, 1, \frac{3}{2}, \ldots\}$   $\Rightarrow$   $n \in \{1, 2, 3, 4, \ldots\}$ 

Degeneracy:  $\dim \mathcal{H}_E = \dim(D^k \otimes D^k) = (2k+1)^2 \implies E = E_n \text{ is } n^2 \text{ degenerate.}$ 

Angular momentum:  $\vec{L} = \vec{J} + \vec{K}$  coupling of i and k

 $\Rightarrow$  $\ell \in \{|j-k|, |j-k|+1, \dots j+k\} \quad \text{but} \quad k=j$ = \{0, 1, 2, \dots, 2k\} \quad \text{only integer} \quad \ell\$

With  $n = \ell + 1 + n_r$  and  $m = m_k + m_j$  we change to new

$$|k, m_k, m_j\rangle \longrightarrow |n, \ell, m\rangle$$

Eigenstates with

$$H|n,\ell,m\rangle = E_n|n,\ell,m\rangle$$
  

$$\vec{L}^2|n,\ell,m\rangle = \hbar^2\ell(\ell+1)|n,\ell,m\rangle$$
  

$$L_z|n,\ell,m\rangle = m\hbar|n,\ell,m\rangle$$

### Comments:

• For E>0 one obtains a  $SO(3,1)=SO(3)\otimes SO(2,1)$  symmetry. See later for an algebraic approach via  $so(2,1) \simeq su(1,1)$  (spectrum-generating algebra). In essence:  $\vec{N} := \left(\frac{2E}{m\alpha^2}\right)^{-1/2} \vec{A}$ 

$$\begin{split} [M_i,M_j] &= \mathrm{i}\hbar\varepsilon_{ijk}M_k\\ [M_i,N_j] &= \mathrm{i}\hbar\varepsilon_{ijk}N_k\\ [N_i,N_j] &= -\mathrm{i}\hbar\varepsilon_{ijk}M_k \end{split} \right\} \qquad so(3,1)\text{-algebra}$$

Bertrand's Theorem: There are only two types of central-force (radial) scalar potentials with the property that all bound orbits are also closed orbits.

- The 3-D Kepler problem may also be mapped onto a 4-D harmonic oscillator problem via the so-called Kustaanheimo-Stiefel transformation (c.f. Homework Problem 8). This Newton-Hook duality was already know to both in 17th century.
- A fixed SO(4)-UIR spans the subspace  $\mathcal{H}_E$  corresponding to a single energy shell of a bound state (fixed n = 2k + 1 and varying  $\ell, m$ ).

- An irreducible representation of SO(4,1) spans the full bound spectrum. The group SO(4,1) is also called de Sitter group (Willem de Sitter 1872–1934). de Sitter space is a maximally symmetric Lorentzian manifold with constant positive scalar curvature. Embed  $S^3$  in  $\mathbb{R}^4$
- An SO(3,2) irreducible representation spans the full continuous spectrum. The group SO(3,2) is also called anti-de Sitter group. Anti-de Sitter space is a maximally symmetric Lorentzian manifold with constant negative scalar curvature.
- SO(4,2) is called the full dynamical group of the Kepler (or Hydrogen atom problem). It is the smallest group whose irreducible representations span both the continuous and the discrete spectrum.

## Some proofs:

 $\vec{L} \cdot \vec{A} = 0 = \vec{A} \cdot \vec{L}$  is obvious as  $\vec{L} \cdot (\vec{P} \times \vec{L}) = 0$  and  $\vec{L} \cdot \vec{Q} = 0$ 

With  $\vec{L} \times \vec{P} = 2i\hbar \vec{P} - \vec{P} \times \vec{L}$  and  $R := |\vec{Q}|$  follows

$$\vec{A} = \frac{1}{\alpha m} \left( \vec{P} \times \vec{L} - i\hbar \vec{P} \right) - \frac{\vec{Q}}{R}$$

 $\Rightarrow$ 

$$\alpha^2 m^2 (\vec{A}^2 - 1) = (\vec{P} \times \vec{L} - \mathrm{i}\hbar \vec{P})^2 - \alpha m (\vec{P} \times \vec{L} - \mathrm{i}\hbar \vec{P}) \cdot \frac{\vec{Q}}{R} - \alpha m \frac{\vec{Q}}{R} \cdot (\vec{P} \times \vec{L} - \mathrm{i}\hbar \vec{P})$$

Using following relations (proofs are below)

$$\begin{split} (\vec{P}\times\vec{L})\cdot(\vec{P}\times\vec{L}) &= \vec{P}^2\vec{L}^2 \\ (\vec{P}\times\vec{L})\cdot\vec{P} &= 2\mathrm{i}\hbar\vec{P}^2 \\ \vec{P}\cdot(\vec{P}\times\vec{L}) &= 0 \\ (\vec{P}\times\vec{L})\cdot\vec{Q} &= \vec{L}^2 + 2\mathrm{i}\hbar\vec{P}\cdot\vec{Q} \\ \vec{Q}\cdot(\vec{P}\times\vec{L}) &= \vec{L}^2 \end{split} \Rightarrow \begin{split} (\vec{P}\times\vec{L} - \mathrm{i}\hbar\vec{P})^2 &= \vec{P}^2(\vec{L}^2 + \hbar^2) \\ (\vec{P}\times\vec{L} - \mathrm{i}\hbar\vec{P})\cdot\vec{Q} &= \vec{L}^2 + \mathrm{i}\hbar\vec{P}\cdot\vec{Q} \\ \vec{Q}\cdot(\vec{P}\times\vec{L}) &= \vec{L}^2 - \mathrm{i}\hbar\vec{Q}\cdot\vec{P} \end{split}$$

follows

$$\alpha^2 m^2 (\vec{A}^2 - 1) = \vec{P}^2 (\vec{L}^2 + \hbar^2) - 2\alpha m \frac{\vec{L}^2}{R} - i\hbar \alpha m \underbrace{\left( \vec{P} \cdot \frac{\vec{Q}}{R} - \frac{\vec{Q}}{R} \cdot \vec{P} \right)}_{-2i\hbar/R} = 2mH \left( \vec{L}^2 + \hbar^2 \right)$$

Auxiliary formulas:

- $(\vec{P} \times \vec{L}) \cdot (\vec{P} \times \vec{L}) = \varepsilon_{ijk} P_j L_k \varepsilon_{ilm} P_l L_m = \varepsilon_{ijk} \varepsilon_{ilm} P_j L_k P_l L_m =$   $= \varepsilon_{ijk} \varepsilon_{ilm} P_j (P_l L_k + i\hbar \varepsilon_{klr} P_r) L_m = (\delta_{jl} \delta_{km} - \delta_{jm} \delta_{kl}) (P_j P_l L_k L_m + i\hbar \varepsilon_{klr} P_j P_r L_m) =$  $= \vec{P}^2 \vec{L}^2 + i\hbar \varepsilon_{kir} P_i P_r L_k - P_i \vec{P} \cdot \vec{L} L_i - i\hbar \varepsilon_{kkr} P_i P_r L_i) = \vec{P}^2 \vec{L}^2$
- $(\vec{P} \times \vec{L}) \cdot \vec{P} = \varepsilon_{ijk} P_i L_j P_k = \varepsilon_{ijk} P_i (P_k L_j + i\hbar \varepsilon_{jkl} P_l) = i\hbar 2\delta_{il} P_i P_l = 2i\hbar \vec{P}^2$
- $\vec{P} \cdot (\vec{P} \times \vec{L}) = \varepsilon_{ijk} P_i P_j L_k = 0$
- $(\vec{P} \times \vec{L}) \cdot \vec{Q} = \varepsilon_{ijk} P_i L_j Q_k = \varepsilon_{ijk} P_i (Q_k L_j + i\hbar \varepsilon_{jkl} Q_l) = \varepsilon_{ijk} Q_k P_i L_j + i\hbar 2\delta_{il} P_i Q_l = \vec{L}^2 + 2i\hbar \vec{P} \cdot \vec{Q}$
- $\vec{Q} \cdot (\vec{P} \times \vec{L}) = \varepsilon_{ijk} Q_i P_j L_k = \vec{L}^2$
- $\bullet (\vec{P} \times \vec{L} \mathrm{i}\hbar \vec{P})^2 = (\vec{P} \times \vec{L}) \cdot (\vec{P} \times \vec{L}) \mathrm{i}\hbar (\vec{P} \times \vec{L}) \cdot \vec{P} \mathrm{i}\hbar \vec{P} \cdot (\vec{P} \times \vec{L}) \hbar^2 \vec{P}^2 = \vec{P}^2 \vec{L}^2 \mathrm{i}2\mathrm{i}\hbar^2 \vec{P}^2 \hbar^2 \vec{P}^2 = \vec{P}^2 (\vec{L}^2 + \hbar^2)$
- $\bullet \ (\vec{P}\times\vec{L}-\mathrm{i}\hbar\vec{P})\cdot\vec{Q}=\vec{L}^2+2\mathrm{i}\hbar\vec{P}\cdot\vec{Q}-\mathrm{i}\hbar\vec{P}\cdot\vec{Q}=\vec{L}^2+\mathrm{i}\hbar\vec{P}\cdot\vec{Q}$
- $\vec{Q} \cdot (\vec{P} \times \vec{L} i\hbar \vec{P}) = \vec{L}^2 i\hbar \vec{Q} \cdot \vec{P}$
- $\bullet \ \vec{P} \cdot \frac{\vec{Q}}{R} \frac{\vec{Q}}{R} \cdot \vec{P} = \frac{\hbar}{1} (\vec{\nabla} \cdot \vec{Q}) \frac{1}{R} + \frac{\hbar}{1} \vec{Q} \cdot (\vec{\nabla} \frac{1}{R}) = \frac{\hbar}{1} \frac{3}{R} \frac{\hbar}{1} \vec{Q} \cdot \frac{\vec{Q}}{R^3} = \frac{\hbar}{1} \frac{2}{R}$

Finally the proof for  $[\vec{A}, H] = 0$ 

With

$$\vec{P} \times \vec{L} = P^2 \vec{Q} - (\vec{P} \cdot \vec{Q}) \vec{P} + i\hbar \vec{P}$$
 and  $\vec{L} \times \vec{P} = -P^2 \vec{Q} + (\vec{P} \cdot \vec{Q}) \vec{P} + i\hbar \vec{P}$ 

follows

$$\vec{A} = \frac{1}{m\alpha} \left( P^2 \vec{Q} - (\vec{P} \cdot \vec{Q}) \vec{P} \right) - \frac{\vec{Q}}{R}$$

Using following formulas

• 
$$[\vec{P}, 1/R] = i\hbar \frac{\vec{Q}}{R^3}$$

• 
$$[P^2, 1/R] = i\hbar \frac{1}{R^3} (\vec{Q} \cdot \vec{P}) + i\hbar (\vec{P} \cdot \vec{Q}) \frac{1}{R^3}$$

• 
$$[(\vec{P} \cdot \vec{Q})\vec{P}, 1/R] = i\hbar(\vec{P} \cdot \vec{Q})\frac{1}{R^3} + i\hbar\frac{1}{R}\vec{P}$$

one finds

$$\begin{split} m\alpha[\vec{A},\tfrac{1}{R}] &= [P^2\vec{Q},\tfrac{1}{R}] - [(\vec{P}\cdot\vec{Q})\vec{P},\tfrac{1}{R}] \\ &= \mathrm{i}\hbar\tfrac{1}{R^3}(\vec{Q}\cdot\vec{P})\vec{Q} + \mathrm{i}\hbar(\vec{P}\cdot\vec{Q})\tfrac{\vec{Q}}{R^3} - \mathrm{i}\hbar(\vec{P}\cdot\vec{Q})\tfrac{\vec{Q}}{R^3} - \mathrm{i}\hbar\tfrac{1}{R}\vec{P} \\ &= \mathrm{i}\hbar\tfrac{1}{R^3}(\vec{Q}\cdot\vec{P})\vec{Q} - \mathrm{i}\hbar\tfrac{1}{R}\vec{P} \end{split}$$

Consider now

$$\begin{split} [\vec{A},P^2] &= \frac{1}{\alpha m} \left( [P^2 \vec{Q},P^2] - [(\vec{P} \cdot \vec{Q})\vec{P},P^2] \right) - [\frac{\vec{Q}}{R},P^2] \\ &= \frac{1}{\alpha m} \left( P^2 [\vec{Q},P^2] - [(\vec{P} \cdot \vec{Q}),P^2]\vec{P} \right) - \frac{1}{R} [\vec{Q},P^2] - [\frac{1}{R},P^2]\vec{Q} \\ &= \frac{1}{\alpha m} \underbrace{\left( P^2 2 \mathrm{i} \hbar \vec{P} - \vec{P} \cdot [\vec{Q},P^2]\vec{P} \right)}_{=0} - \frac{1}{R} [\vec{Q},P^2] - [\frac{1}{R},P^2]\vec{Q} \\ &= -\frac{1}{R} 2 \mathrm{i} \hbar \vec{P} + \mathrm{i} \hbar \frac{1}{R^3} (\vec{Q} \cdot \vec{P}) \vec{Q} + \mathrm{i} \hbar (\vec{P} \cdot \vec{Q}) \frac{\vec{Q}}{R^3} \quad \text{with} \quad [\vec{P} \cdot \vec{Q}, \frac{1}{R^3}] = 3 \mathrm{i} \hbar \frac{1}{R^3} \\ &= -2 \mathrm{i} \hbar \frac{1}{R} \vec{P} + \mathrm{i} \hbar \frac{1}{R^3} \left( (\vec{Q} \cdot \vec{P}) + \underbrace{(\vec{P} \cdot \vec{Q}) + 3 \mathrm{i} \hbar}_{(\vec{Q} \cdot \vec{P})} \right) \vec{Q} \\ &= -2 \mathrm{i} \hbar \frac{1}{R} \vec{P} + 2 \mathrm{i} \hbar \frac{1}{R^3} (\vec{Q} \cdot \vec{P}) \vec{Q} \\ &= 2 m \alpha [\vec{A}, \frac{1}{R}] \end{split}$$

Hence

$$\frac{1}{2m}[\vec{A},P^2] = [\vec{A},\alpha/R] \qquad \Rightarrow \qquad [\vec{A},H] = 0$$

Good References:

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